

## **PART 3 - LICENCES, PERMITS AND REGISTRATION**

### ***Introductory***

#### **13. Scheme of this Part**

13.1 This Part imposes restrictions on the carrying out of activities connected with horseracing and requires Persons intending to carry out the activities in Great Britain

- 13.1.1 to hold the appropriate licence or permit, or
- 13.1.2 to secure that the names of any horse, owner or stable employee is entered in the appropriate register.

13.2 The effect of the restrictions in this Part is that

- 13.2.1 a licence or permit is required for any Person who acts as
  - 13.2.1.1 a trainer,
  - 13.2.1.2 a Jockey or an amateur rider,
  - 13.2.1.3 a valet to a rider (referred in this Manual as a master valet),
  - 13.2.1.4 an assistant to a master valet (referred in this Manual as an assistant valet),or
- 13.2.1.5 a rider's agent;
- 13.2.2 a licence is required to hold an authorised race meeting at any racecourse;
- 13.2.3 the names of any horse which is trained in Great Britain and runs at an authorised race meeting must be entered in a register maintained by the Authority;
- 13.2.4 the name of the owner of any such horse must be entered in a register maintained by the Authority; and
- 13.2.5 the names of stable employees must be entered in a register maintained by the Authority.

13.3 Rules 23 to 25 make provision as to the Authority's general powers under these Rules in connection with

- 13.3.1 the grant, refusal, withdrawal or suspension of any licence or permit, or
- 13.3.2 the making, modification or cancellation of any entry in a register maintained by the Authority under these Rules.

### ***Licences and permits***

#### **14. Trainer's licences and trainer's permits**

14.1 A Person in Great Britain may not train a horse which runs in a race under these Rules unless

- 14.1.1 he holds a trainer's licence or a trainer's permit granted by the Authority in accordance with the Trainer Manual (C),
- 14.1.2 the horse is trained outside Great Britain and the trainer is duly qualified as a trainer in the country where the horse is trained, or
- 14.1.3 he is training a horse solely for the purpose of Hunters' Steeple Chases, the Grand Military Gold Cup or the Royal Artillery Gold Cup.

14.2 Requirements applying to all Persons to whom Paragraph 14.1 applies are specified in the Trainer Manual (C), along with detailed provisions about licences and permits granted by the Authority (including how to apply).

14.3 The provisions of Schedule 9 specify procedures applicable to the consideration and determination of applications.

14.4 **Hunters' Steeple Chase** means a weight-for-age steeple chase which is confined

- 14.4.1 to horses certified by a Master of Hounds to have been hunted, and
- 14.4.2 to Amateur Riders.

#### **15. Rider's licences and amateur rider's permits**

15.1 A Person may not ride in any race under these Rules unless

15.1.1 he has attained the age of sixteen years,

15.1.2 he

15.1.2.1 holds a jockey's licence or an amateur rider's permit granted by the Authority,  
or

15.1.2.2 is authorised by a Recognised Racing Authority to ride under the rules of that  
Recognised Racing Authority (whether by grant of a licence, permit or otherwise), and

15.1.3 he is not disqualified or suspended from riding either under these Rules or under the rules of  
any Recognised Racing Authority.

15.2 The types of jockey's licences granted by the Authority are

15.2.1 a licence to allow the holder to ride in flat races, and

15.2.2 a licence to allow the holder to ride in Jump Races.

15.3 In these Rules, a jockey's licence granted to a Person whom the Authority considers to be inexperienced in  
racing is referred to as

15.3.1 an Apprentice Jockey's licence, where the licence allows him to ride in flat races, and

15.3.2 a Conditional Jockey's licence, where the licence allows him to ride in Jump Races.

15.4 The types of amateur rider's permit granted by the Authority are

15.4.1 a Category A amateur rider's permit, and

15.4.2 a Category B amateur rider's permit.

15.5 Requirements applying to all Persons within Paragraph 15.1.2 are specified in the Rider Manual (D), along  
with detailed provisions about licences and permits granted by the Authority (including how to apply).

15.6 The provisions of Schedule 9 specify procedures applicable to the consideration and determination of  
applications.

15.7 **Jump races** means a steeple chase, hurdle race or National Hunt Flat Race.

## **16. Licences and permits for Master Valets and their assistants**

16.1 A Person may not act as a master valet unless he holds a master valet's licence granted by the Authority in  
accordance with the Rider Manual (D).

16.2 A Person may not act as an assistant to a master valet unless he holds a master valets' assistant's permit  
granted by the Authority in accordance with the Rider Manual (D).

16.3 Detailed provisions about licences and permits granted by the Authority (including how to apply) are  
specified in Part (D)7.

16.4 The provisions of Schedule 9 specify procedures applicable to the consideration and determination of  
applications.

## **17. Licences for rider's agents**

17.1 A Person may not act as an agent for a rider unless he holds an agent's licence granted by the Authority in  
accordance with the Rider Manual (D).

17.2 Detailed provisions about licences granted by the Authority (including how to apply) are specified in Part  
(D)7.

17.3 The provisions of Schedule 9 specify procedures applicable to the consideration and determination of  
applications.

## **18. Racecourse licences**

18.1 An authorised race meeting in Great Britain may not be held at any place or on any track unless it is licensed  
by the Authority for that purpose.

18.2 The circumstances in which a licence be granted are specified in Part (F)2, along with other detailed  
provisions about racecourse licences (including how to apply).

## ***Registration***

## **19. The Authority's general functions as to registration**

19.1 The Authority must maintain a register for

- 19.1.1 the names of horses,
- 19.1.2 the names of owners of horses,
- 19.1.3 the names of stable employees, and
- 19.1.4 such other purpose as may be provided for in these Rules.

19.2 The register must include such information as the Authority considers appropriate.

19.3 Where the Authority approves an application for entry in a register which is made to it in accordance with Rule 23, it must make an entry in the register.

19.4 Registration may be cancelled, and an entry amended or removed, if the Authority considers that is appropriate to do so.

## **20. Register of horse names**

20.1 A horse trained in Great Britain may not be entered for or run in any race under these Rules unless its name is entered in the register of horse names maintained by the Authority.

20.2 Detailed provisions about the registration of horse names (including how to apply) are set out in Part (E)2.

## **21. Register of Owners**

21.1 A horse trained in Great Britain may not be entered for or run in any race under these Rules unless the name of the Owner of the horse is entered in the register of Owners maintained by the Authority in accordance with these Rules.

21.2 No entry may be made in the register in respect of any equitable interest in the ownership of a horse.

21.3 A horse trained outside Great Britain is not qualified to be entered for or run in any race under these Rules unless the name of the owner is registered by the Racing Authority of the country in which the horse is trained.

21.4 Detailed provisions about owners (including, in relation to horses trained in Great Britain, who may be registered and how to apply) are set out in Part (E)3.

21.5 The provisions of Schedule 9 specify procedures applicable to the consideration and determination of applications.

## **22. Register of stable employees**

22.1 A Person employed by a trainer may not continue in his employment unless his name has been entered in the register of stable employee names maintained by the Authority.

22.2 Detailed provisions about the registration of stable employee names (including how to apply) are set out in the Trainer Manual (C).

### ***General provisions as to licences, permits and registration***

## **23. Application procedures for Persons requiring licences, permits or registration**

23.1 In respect of an application for

- 23.1.1 a licence or permit,
- 23.1.2 the renewal of a licence or permit,
- 23.1.3 registration in any register maintained by the Authority under these Rules,

the provisions of Schedule 9 specify procedures applicable to the consideration and determination of those applications.

23.2 Each application shall be considered individually on its merits.

23.3 At any time after receiving an application and before determining it, the Authority may require an applicant to provide it with such further information as it reasonably considers necessary to determine the application.

23.4 The Authority may require an applicant to provide information which he is requested to provide under this Rule in such form, or to verify it in such way, as the Authority may direct.

23.5 The Authority must notify the applicant of its decision on the application by a written notice.

## **24. The Authority's general powers as to licences and permits**

24.1 Where an application for a licence or permit is made to the Authority in accordance with the relevant

application procedure, the Authority may

- 24.1.1 grant the application,
- 24.1.2 grant it subject to restrictions or conditions, or
- 24.1.3 refuse the application.

24.2 The Authority may on an application or on its own initiative

- 24.2.1 withdraw any licence or permit it has granted,
- 24.2.2 suspend it,
- 24.2.3 renew it,
- 24.2.4 renew it subject to conditions, or
- 24.2.5 refuse to renew it

24.3 The Authority may refuse an application for a licence or permit if it is not accompanied by the appropriate fee specified in Schedule 1, but this does not limit the power of the Authority to refuse an application for other reasons.

## **25. The Authority's general powers as to registration**

25.1 Where an application for registration in any register maintained by the Authority is made to the Authority in accordance with the relevant application procedure, the Authority may

- 25.1.1 approve the application and register the name or other information to which the application relates, or
- 25.1.2 refuse to approve the application.

25.2 The Authority may on an application or on its own initiative

- 25.2.1 cancel any registration under this Part, and
- 25.2.2 remove or amend any entry on a register maintained by it under these Rules.

25.3 The Authority may refuse an application for registration if it is not accompanied by the appropriate fee specified in Schedule 1, but this does not limit the power of the Authority to refuse an application for other reasons.

## **26. Deleted**