

## **PART 4 - GENERAL REQUIREMENTS AS TO CONDUCT**

### **27. Deleted**

### **28. Deleted**

### **29. Entering or running a horse not qualified to race**

29.1 A Person who knows or believes that a horse is not qualified for a race must not

- 29.1.1 enter the horse in the race,
- 29.1.2 cause it to be entered for the race, or
- 29.1.3 cause it to start in the race.

29.2 The Authority may decide not to take Disciplinary Action for a contravention of this Rule if the Person satisfies the Authority that he took all reasonable precautions and exercised all due diligence to avoid the contravention.

### **30. Conduct prejudicial to horseracing**

30.1 A Person must not act in any manner which the Authority considers to be prejudicial to the integrity, proper conduct or good reputation of horseracing in Great Britain.

30.2 For the purposes of Paragraph 30.1, any Person who

- 30.2.1 in Great Britain, engages in bloodstock transactions or deals in racehorses (including horses intended for racing), and
- 30.2.2 in so doing, fails to observe any provision of The Bloodstock Industry Code of Practice,

may be taken to have contravened a requirement imposed on him by Paragraph 30.1, whether or not he is otherwise subject to these Rules.

30.3 A Person must not in connection with horseracing in Great Britain associate with

- 30.3.1 a Disqualified Person; or
- 30.3.2 a Person who is excluded under Rule 64 from any premises licensed by the Authority

unless he obtains the prior permission of the Authority.

30.4 Paragraphs 30.1 to 30.3 apply whether or not a Person's conduct constitutes a contravention of any other provision of these Rules.

30.5 The Bloodstock Industry Code of Practice for the time being in force is set out in Schedule 4.

### **31. Conduct obstructing the proper administration or control of racing**

31.1 A Person must not, in relation to the administration or control of racing

- 31.1.1 breach any declaration or undertaking given to the Authority,
- 31.1.2 provide any inaccurate information,
- 31.1.3 omit any relevant information which is requested, or
- 31.1.4 fail to comply with a requirement imposed on him by the Authority.

31.2 A Person must not deliberately mislead or by an overt act endeavour to mislead the Authority, the Stewards, any employee of the Authority or a representative of the Racecourse Managing Executive either at any enquiry or in connection with the administration or control of racing.

31.3 The Authority may decide not to take Disciplinary Action for a contravention of this Rule if the Person satisfies the Authority that the circumstances were exceptional and that he had a satisfactory reason for his conduct.

### **32. Violent or improper behaviour on racecourse etc**

32.1 A Person must not act in any violent or improper manner on any land or premises which is used by the Stewards or licensed by the Authority.

32.2 A Person must not act in any violent or improper manner on any land or premises on which he is present

32.2.1 in his capacity as a Person licensed, permitted or registered by the Authority in accordance with Part 3, or

32.2.2 for training which relates to an activity connected with horse racing.

### **33. Offering or accepting bribes**

33.1 A Person must not give or offer a bribe, or promise a bribe, to

33.1.1 a Person who has official duties in relation to a race or a horse, or

33.1.2 a Trainer, Rider, agent or other Person who has charge of, or access to, a horse.

33.2 Paragraph 33.1 applies regardless of the form of the bribe and whether it is direct or indirect.

33.3 A Person who has official duties in relation to a race must not accept or offer to accept any bribe in any form.

33.4 A Person who is a Trainer, Rider, agent or other Person having charge of, or access to, a horse must not accept or offer to accept any bribe in any form.

### **34. Offering rewards for amateur riders**

34.1 A Person must not offer or promise any reward to an Amateur Rider for riding in a race.

34.2 Nothing in this Rule prevents the advertisement of a trophy for Amateur Riders in the conditions of a race.

34.3 Reward means a reward by way of fee, present, expenses or any consideration apart from

34.3.1 any trophy that was advertised in the conditions of the race;

34.3.2 any expenses apportioned by F.E.G.E.N.T.R.I. for their Championship races under these Rules;

34.3.3 any reasonable expenses for Overseas Riders which are

34.3.3.1 offered by sponsors of an amateur or club race,

34.3.3.2 included in the conditions of the race, and

34.3.3.3 approved by the Authority;

34.3.4 any assisted or free travel and accommodation which is

34.3.4.1 offered to Amateur Riders when taking part in invitation races abroad, and

34.3.4.2 approved by the Racing Authority appropriate to the racecourse concerned; or

34.3.5 any other expenses or benefits approved by the Authority

### **35. Placing bets or laying a horse to lose**

35.1 A Person must not make or offer to make a bet on horseracing on behalf of a Rider where the bet

35.1.1 in the case of an Amateur Rider, is to be placed on a race in which the Amateur Rider is riding, or

35.1.2 in any other case, is to be placed at any time during the term of the Rider's licence.

35.2 A Person must not offer a Rider the proceeds (or any part of the proceeds) of a bet on horseracing which is prohibited by Paragraph 35.1.

35.3 Paragraphs 35.1 and 35.2 apply regardless of where the bet is placed or where the race is run.

35.4 A service provider to a Person to whom these Rules apply must not

35.4.1 lay with a Betting Organisation any horse owned, trained or ridden by that Person to lose a race,

35.4.2 instruct another Person to do so on behalf of the service provider, or

35.4.3 receive the whole or any part of any proceeds of such a lay.

35.5 Paragraph 35.4 applies for the whole of the period in which the service was provided and for the period of 21 days afterwards.

35.6 Any reference to a Person laying a horse to lose includes any single instance of doing so, whether or not the single instance was, or was intended to be, one of a series of betting arrangements.

35.7 Nothing in this Rule prevents the laying of any horse owned by a Betting Organisation in the ordinary course of that Betting Organisation's business.

### **35.8 Betting Organisation** means

35.8.1 any bookmaker,

35.8.2 the Tote,

35.8.3 any company offering spread betting on horseracing or person-to-person betting exchanges on horseracing, and

35.8.4 the employees of any such organisation.

### **35.9** Any Person who is subject to these Rules must ensure that

35.9.1 no Person but himself shall use an account with a Betting Organisation which is maintained in his own name to lay any horse to lose, and

35.9.2 all lay bets on such an account will be presumed to be effected by, or for, the benefit of the named account holder, unless in any case it is proved that the lay betting in question was effected by another Person without the account holder's actual or constructive knowledge, or actual or implied consent and not for his potential benefit.

## **36. Communication of Inside Information**

**36.1 Inside Information** is information about the likely participation or likely performance of a horse in a race, which

36.1.1 is known by an Owner, Trainer, Rider, stable employee or any of their service providers as a result of acting as such, and

36.1.2 is not information in the public domain.

36.2 A Person must not communicate Inside Information directly or indirectly to any other Person for any material reward, gift, favour or benefit in kind.

36.3 Schedule 5 specifies certain circumstances in which information is regarded as being in the public domain for the purposes of Paragraph 36.1.2.

## **37. Assisting, encouraging or causing Rule contraventions**

37. A Person must not assist, or encourage, or cause another Person to act in contravention of a provision of these Rules.

## **38. Participation at unrecognised meetings**

38.1 A Person must not

38.1.1 own, train or ride a horse at any unrecognised meeting, or

38.1.2 act in any official capacity in connection with such a meeting.

38.2 Paragraph 38.1 does not apply to

38.2.1 pony races, or

38.2.2 any other specific event comprising or including a race in respect of which the Authority has granted an exemption under this Rule.

38.3 An application for an exemption under Paragraph 38.2.2 will be considered by the Authority only if it is made in writing to the Authority's Office at least 2 months before the event is due to take place.

38.4 The Authority may impose conditions on the grant of any exemption.

### **Other provisions as to conduct**

## **39. Requirement to comply with controls on sponsorship**

39. A Person must comply with any Code of Conduct on sponsorship imposed by or under these Rules.

## **40. Conviction of criminal offence connected with racing**

40. A Person who is convicted of any criminal offence in relation to racing in Great Britain or in any other country or territory shall be taken to have contravened a requirement imposed on him by these Rules.

## **41. Involvement in corrupt or fraudulent practices in relation to racing**

41. A Person who

41.1 is guilty of the commission of any corrupt or fraudulent practice in relation to racing in this or any other country,

41.2 conspires with any other Person for the commission of such a practice, or

41.3 connives at any other Person being guilty of such a practice,

shall be taken to have contravened a requirement imposed on him by these Rules.

## **42. Involvement in contravention of Rules as to betting etc**

42.1 Paragraphs 42.3 and 42.4 apply where

42.1.1 a Person has dealings with a Person listed in Paragraph 42.2, and

42.1.2 those dealings cause that listed Person to contravene any provision of Rules 35, 36, (C)64,(D)53, (D)54, (D)55, (D)75 or (D)78 (which impose restrictions on riders and valets in connection with betting).

42.2 The listed Persons are any Rider, any Trainer, any stable employee whose name is entered in the register of stable employee names, any rider's agent, any master valet or any assistant valet.

42.3 If that Person is subject to these Rules, he shall be taken to have contravened a requirement imposed on him by these Rules.

42.4 If that Person is not subject to these Rules, he is liable to exclusion under Rule 64 from any premises licensed by the Authority.

42.5 In this Rule

**Register of Stable Employee Names** means the register of the names of employees of a trainer, which is maintained by the Authority under Part 3 (licences, permits and registration).

### **42A. Disclosure of unacceptable conduct**

42A. Any Person who is subject to these Rules must, as soon as reasonably practicable, disclose to the Authority full details of any approach or invitation made to

42A.1 him; or

42A.2 any other Person, of which he is, or becomes, aware, to engage in conduct that would amount to a breach of these Rules.