

## **PART 5 - RACE ENTRIES**

### ***General***

#### **66. Powers of the Authority**

66.1 For the purposes of this Rule

Approved Laboratory means the laboratory approved by the Authority at any time for the testing of Samples.

66.2 The Authority may, if it considers it appropriate to do so

66.2.1 accept, or refuse to accept, any entry for a race in such circumstances as the Authority may determine, and

66.2.2 when accepting an entry, impose such restrictions or conditions as it may determine.

66.2.3 request other Recognised Racing Authorities to take samples from horses trained in their country which are entered to run in races in Great Britain to be analysed by the Approved Laboratory.

66.3 The following provisions of this Part are subject to Paragraph 66.2 and, in particular, where such provisions specify a deadline for taking a particular step, the Authority may specify such other deadline as it considers appropriate in relation to a specified race or races of a specified description.

66.4 No entry shall be accepted where the Nominator or the Owner of the horse has failed to comply with the requirements of Rule 112 (power of the Authority to require earlier payment).

66.5 Nothing in this Part restricts the Authority's general powers under Part (A)2 (general functions of the Authority) and, in particular, its power under Rule (A)12 (supplementary powers) to waive the application of any Rule in such circumstances as it may consider appropriate.

#### **67. Security codes for making entries**

67.1 The Authority may allot a security code to any Person it considers to be qualified to make entries for races.

67.2 A fee in accordance with Schedule (A)1 (fees) is payable for the replacement of a lost security code.

#### **68. Prohibition on racecourse managing executives charging for entries**

68. No Racecourse Managing Executive may

68.1 require any form of payment to be made to it, or on its behalf, for the purpose of allowing or enabling any horse to participate in a race run under these Rules, or

68.2 receive any such payment.

### ***Entries***

#### **69. Requirements as to horse being entered**

69.1 A horse may not be entered for a race unless it meets the requirements specified in Schedule (B)2 (requirements for horse to enter race).

Those requirements are also set out in Schedule 5.

69.2 Notwithstanding that the requirements of Paragraph 2.1 of Schedule 5 are met in respect of an entry for a horse foaled in Great Britain, Ireland or the Channel Islands, the entry shall be void where it appears to the Authority that

69.2.1 the requirements as to the horse's name which are set out in Schedule (E)1 were not satisfied at the time of registration, or

69.2.2 the registration is void under Rule (E)9.5 (registering the name).

#### **70. Making the entry: horse trained in Great Britain**

70.1 This Rule applies to any horse which is trained in Great Britain.

70.2 The horse may be entered for a race only if

70.2.1 the name of the Owner is entered on the register of Owners under Part (E)3,

70.2.2 the entry is made by the Owner of the horse or his Authorised Agent, and

70.2.3 the entry is made

70.2.3.1 in the name of one Person only, and

70.2.3.2 in accordance with the requirements as to the name to be used when running a horse which are set out in Part (E)3 (so, for example, the company name must be used by a Recognised Company, the club name must be used by a Racing Club, the Partnership name must be used by a Partnership, and so on).

70.3 No horse may be entered in the ownership or part-ownership, or in the name, of a Disqualified Person.

70.4 No horse may be entered for a race in the name of any Person as his Owner unless that Person has a beneficial interest in the horse which is at least equal to that of each other Person with a beneficial interest.

70.5 Paragraph 70.4 does not apply to any horse which

70.5.1 is in the ownership of a Recognised Stud Company,

70.5.2 is in the ownership of a Recognised Business Partnership,

70.5.3 is in the ownership of a Racing Club,

70.5.4 is subject to a registered Partnership, or

70.5.5 is in the ownership of a Syndicate.

70.6 The following information must be given at the Time of Entry

70.6.1 the security code allotted by the Authority under Rule 67;

70.6.2 the registered name of the horse;

70.6.3 the name of the Owner;

70.6.4 the name of the meeting;

70.6.5 the name of the race;

70.6.6 the date upon which the race is advertised to be run;

70.6.7 such other details as the Authority may require.

70.7 Where a horse has entered training in Great Britain after coming from abroad

70.7.1 an entry for the horse must also be accompanied by full details of any racecourse performances outside Great Britain or Ireland, but

70.7.2 as soon as the requirement in Paragraph 70.7.1 has been complied with, that requirement shall cease to apply for any subsequent races provided that the horse remains in Great Britain and Ireland during the intervening period.

(See Rule (C)18 (information about new horse arriving from outside Great Britain)).

70.8 The entry may be made only through the Racing Calendar Office.

## **71. Making the entry: horse trained outside Great Britain**

71.1 This Rule applies to any horse which is trained outside Great Britain.

71.2 The horse may be entered for a race only if

71.2.1 it is registered with one of the Stud Book Authorities listed in Schedule (E)2 and its name registered by the Recognised Racing Authority in the country of foaling,

71.2.2 the Owner is duly registered in the country in which the horse is trained, and

71.2.3 the entry is made

71.2.3.1 by the Owner of the horse,

71.2.3.2 by his Authorised Agent, or

71.2.3.3 if the entry is in writing and has been signed by the Owner or his Authorised Agent, by the Trainer of the horse.

71.3 No horse may be entered in the ownership or part-ownership, or in the name, of a Disqualified Person.

71.4 The entry may be made only by telephone, through the Racing Administration Internet Site or in writing.

71.5 Deleted.

71.6 Any entry made to The Racing Calendar Office must be accompanied by the following information

- 71.6.1 the security code allotted by the Authority under Rule 67;
- 71.6.2 the registered name for the horse;
- 71.6.3 if the horse was foaled outside Great Britain, Ireland or the Channel Islands
  - 71.6.3.1 the country of foaling, and
  - 71.6.3.2 such pedigree or description as will identify it;
- 71.6.4 the name of the Owner;
- 71.6.5 contact details for the purposes of any communications relating to the entry;
- 71.6.6 the name of the meeting;
- 71.6.7 the name of the race;
- 71.6.8 the date upon which the race is advertised to be run;
- 71.6.9 the sex of the horse;
- 71.6.10 if the horse is pregnant, the date of the last covering;
- 71.6.11 such other details as the Authority may require.

71.7 Any changes to the above details must be notified to the Racing Calendar Office before the next Scratching Deadline or confirmation of entry.

71.8 An entry made by telephone shall only be accepted from 2.30 p.m. on the day before closing.

## **72. Alteration and withdrawal of entry before closing**

72.1 Where an entry has been made in respect of a horse, the Owner or his Authorised Agent may alter or withdraw the entry at any time before closing.

72.2 The Person altering or withdrawing an entry must do so through the Racing Calendar Office.

## **73. Entries for races in Great Britain which close to other Recognised Racing Authorities**

73.1 The following provisions of this Rule apply where a Recognised Racing Authority outside Great Britain or the Channel Islands advertises that it is prepared to accept entries for a race in Great Britain for onward transmission to The Racing Calendar Office.

73.2 An entry may close to the Recognised Racing Authority if it is made in writing and signed by the Owner of the horse or his Authorised Agent and Rules 69 and 71 shall apply to the entry as those Rules apply to any other entry which is made in writing.

73.3 An entry for a race in Great Britain which closes to Horse Racing Ireland may also be made to The Racing Calendar Office but only if

- 73.3.1 the entry is made by the deadline determined in accordance with Rules 74, 75 and 76, and
- 73.3.2 the horse is in the care of and trained by a licensed, permitted or duly qualified trainer in Ireland at the Time of Entry.

An entry under this Paragraph shall be subject to a surcharge to the Owner of the horse as specified in Schedule (A)1.

73.4 Nothing in this Rule shall prevent a Recognised Racing Authority which considers it appropriate to do so, from applying an earlier deadline from that determined in accordance with Rules 75 and 76 for the closing of entries

## **74. Setting the date for closing**

74.1 No race shall close more than 6 days before the day on which it is to be run unless it is a race with a Total Prize Fund of £50,000 or more.

74.2 No race to be run on turf shall close earlier than the January in the year in which the horses in the race are two years old.

74.3 No flat race to be run on turf shall close after the last Wednesday in November in any year.

## **75. Closing time for races closing more than 6 days before running**

75.1 In respect of a race which closes more than 6 days before running

75.1.1 the time for closing entries and acceptances will be fixed to close at 12 noon on Tuesdays only, but

75.1.2 supplementary entries may close at 12 noon on the day specified in the conditions of the race for entries to be confirmed.

75.2 Subject to Rule 73, each entry and each acceptance must be made to The Racing Calendar Office.

75.3 The list of entries and supplementary entries will close at the advertised time and no entry may be admitted on any ground after such time.

75.4 But, if the Authority considers it appropriate to do so, it may permit a late entry in exceptional circumstances.

## **76. Closing time for other races**

76.1 This Rule applies to any race other than one to which Rule 75 applies.

76.2 Subject to Rule 73, each entry and each acceptance must be made to The Racing Calendar Office.

76.3 Subject to Rule 77

76.3.1 the time for closing entries will be fixed to close at 12 noon either six days or five days before the race is run, but

76.3.2 supplementary entries may close at 12 noon on the day specified in the conditions of the race.

76.4 the list of entries and supplementary entries will close at the advertised time and no entry may be admitted on any ground after such time.

76.5 But, if the Authority considers it appropriate to do so, it may permit a late entry in exceptional circumstances.

## **77. Re-opening of entry procedure**

77.1 Where nine or fewer entries for a race have been received at The Racing Calendar Office by the time of closing, the race will be subject to a re-opening of the entry procedure.

77.2 For the purposes of Paragraph 77.1

77.2.1 an entry will not count if between the Time of Entry and 1p.m. on the day of closing, the entry is found to be not qualified for the race, or

77.2.2 if two or more entries for horses in the care of the same Trainer have been received by the time of closing they will be treated as if a maximum of two entries have been made.

77.3 The fact of the re-opening of the entry procedure will be displayed promptly on the Racing Administration Internet Site and relayed to the media as soon as possible.

77.4 Further entries may be made for the race

77.4.1 up to 11.00 a.m. on the day following closing, or

77.4.2 up to such other time as the Authority may specify.

77.5 At the end of the re-opening period, The Racing Calendar Office will add to the race any further entries that have been made and the final list of entries will be displayed on the Racing Administration Internet Site.

77.6 No entry which is made as a result of the re-opening procedure may be withdrawn.

## **78. Steps for correcting certain errors**

78.1 Where a horse is accidentally entered for a race for which it is not qualified, the entry may be deleted on payment to the Authority of £90 at any time up to

78.1.1 in relation to any race which closes 6 days or less before running, 11 a.m. on the day following that on which entries closed, and

78.1.2 in relation to any other race, the time determined in accordance with Part 6 for confirming entries.

78.2 Where any information that was given as to the Owner of the horse is incorrect or is not otherwise in accordance with the requirements of this Part, the entry may be corrected if

78.2.1 the error was accidental and the Authority has no reason to believe that there has been any

fraud,

78.2.2 the correction is made to The Racing Calendar Office by the time determined in accordance with Part 6 for making declarations to run,

78.2.3 the Clerk of the Scales is notified of the correction before the Rider weighs out for the race, and

78.2.4 a payment is made to the Authority of not less than £90.

For the purposes of Paragraph 78.2.2, a correction is regarded as having been made where the declaration to run under Part 6 is made by the Owner of the horse or his Authorised Agent.

78.3 A failure to comply with any provision of these Rules which relates to the making of entries may be corrected if

78.3.1 the failure occurs in the circumstances described in Paragraph 78.4.1 and the condition specified in Paragraph 78.4.2 of that Paragraph is met, or

78.3.2 the failure occurs in the circumstances described in Paragraph 78.5.1 and the condition specified in Paragraph 78.5.2 of that Paragraph is met,

and the Authority has no reason to believe that there has been any fraud and a payment is made to the Authority of not less than £90.

78.4 For the purposes of Paragraph 78.3.1

78.4.1 the relevant circumstances are that, contrary to Rule (E)68 (conditions for initial registration of Partnership), the documents required for the registration of a Partnership have not been received at The Racing Calendar Office before the entry is made, and

78.4.2 the condition to be satisfied in order to correct the failure is that, before the Rider weighs out for the race, those documents are received at The Racing Calendar Office.

78.5 For the purposes of Paragraph 78.3.2

78.5.1 the relevant circumstances are that, contrary to Rule 70.7, the details as to the performances of a horse have not been provided in respect of a horse which has entered training in Great Britain after coming from abroad, and

78.5.2 the condition to be satisfied in order to correct the failure is that, by the time determined in accordance with Part 6 for making declarations to run, the correction is made to The Racing Calendar Office and the details provided.

78.6 Where a horse runs in the circumstances specified in this Rule without an appropriate correction having been made, the Authority may take Disciplinary Action against any Person who is responsible for having run the horse.

## **79. Pre-notification of certain entries**

79.1 In each of the cases specified in this Rule, a Trainer must notify The Racing Calendar Office in writing at least 10 days before an entry is made for a race.

79.2 The first case is where the entry is for a flat race Handicap or a Rating Related Flat Race and

79.2.1 the Trainer considers that the horse is qualified to run in the race in accordance with the requirements of Rules 30 to 32, but

79.2.2 it is the first entry to be made in respect of the horse since it entered training in Great Britain.

79.3 The second case is where the entry is for a flat race Handicap or a Rating Related Flat Race and

79.3.1 the Trainer considers that the horse is qualified to run in the race in accordance with the requirements of Rules 30 to 32, but

79.3.2 since becoming so qualified, the horse has been in training outside Great Britain or Ireland.

79.4 The third case is where

79.4.1 the entry is for a Handicap steeple chase, a Handicap hurdle race, a Classified Steeple Chase or a Classified Hurdle Race, and

79.4.2 the Trainer considers that the horse is qualified to run in the race in accordance with the requirements of Rule 42.4 or 42.5.1.1 or 42.5.1.2.

79.5 An entry made without the pre-notification required by this Rule shall be void.

79.6 This Rule does not apply to any race which closes more than 6 days before running or in any case where the Authority directs that it should not apply.

## **80. Void entries**

80.1 An entry shall not become void on the death of the Person in whose name it is made or taken, and all rights, privileges, and liabilities that could have attached to the deceased Person if he or she had been alive shall attach to his or her personal representative.

80.2 In any of the following provisions of this Rule or in any other Rule in this Part, any reference to an entry for a race being void in respect of a horse means that the horse shall not be qualified to be entered for or start in the race.

80.3 If the Owner of a horse becomes a Disqualified Person after an entry has been made for a horse, the entry shall be void.

80.4 In respect of any Handicap or other rating related race where Handicap Ratings are relevant to qualification, an entry made for a horse shall be void if

80.4.1 the horse does not have a relevant current qualifying Handicap Rating published in the latest ratings lists on the Racing Administration Internet Site before the closing deadline for the race, and

80.4.2 either

80.4.2.1 the Handicap Rating subsequently awarded by the Handicapper is outside any qualifying bands specified in the published race conditions, or

80.4.2.2 the Handicapper declines to allocate a Handicap Rating to the horse.

80.5 Where an entry is made for a horse as a result of the publication of an incorrect Handicap Rating and the subsequent correction renders the horse ineligible for the race, the entry shall be void and any payment made before the race shall be returned.

80.6 An acceptance for a Free Handicap shall be regarded as equivalent to an entry, but if the horse is wrongly described the acceptance shall be void.

## **Transfers of engagements**

### **81. Racing Calendar Office to be notified of transfer of engagements**

81.1 Where a horse changes ownership, its engagements may be transferred to the new Owner but only if the following conditions are met

81.1.1 the name of the transferee is entered on the register of Owners under Part (E)3 or, if the horse is to be trained in another country, the transferee is duly registered in that country, and

81.1.2 before the horse starts for a transferred engagement, there is sent to The Racing Calendar Office

81.1.2.1 written acknowledgement from both parties or their Authorised Agents that the horse was transferred with engagements,

81.1.2.2 the details of the engagements to be transferred, and

81.1.2.3 the appropriate fee specified in Schedule (A)1 from the transferee.

81.2 But no transfer of engagements is required if the Nominator does not change.

81.3 Only such engagements as are notified under Paragraph 81.1 shall be regarded as having been transferred.

81.4 The information required by Paragraph 81.1.2 may be sent to The Racing Calendar Office or given to the Clerk of the Scales for transmission to that Office.

81.5 If the horse runs in a race before the information required by Paragraph 81.1.2 is provided, the horse is liable to disqualification under Part (A)6 (which contains provision for disqualification and suspension of horses).

81.6 For the purposes of this Rule, information which is sent by facsimile message shall be regarded as being in writing.

## **82. Effect of a scratching deadline**

82. When any engagement is transferred in a race in which there is a Scratching Deadline, the transferor may not strike the horse out of the engagement and the horse may appear in the final programme in the name of its Owner instead of the Nominator.