

## **PART 6 - PROCESSING AFTER ENTRY**

### **CHAPTER 1 - GENERAL**

#### **83. Powers of the Authority**

83.1 The Authority may, if it considers it appropriate to do so

83.1.1 accept or refuse to accept any of the following in such circumstances as it may determine

- 83.1.1.1 any Scratching of a horse from a race,
- 83.1.1.2 any confirmation of entry in a race,
- 83.1.1.3 any declaration to run,
- 83.1.1.4 any declaration of Rider for a horse,
- 83.1.1.5 any alteration of any such Scratching, confirmation or declaration, or
- 83.1.1.6 any withdrawal of any such confirmation or declaration;

83.1.2 refuse to allow a horse duly entered to run in any race in such circumstances as the Authority may determine;

83.1.3 when accepting any such Scratching, declaration or confirmation, impose such restrictions or conditions as the Authority may determine.

83.2 The following provisions of this Part are subject to Paragraph 83.1 and, in particular, where such provisions specify a deadline for taking a particular step, the Authority may specify such other deadline as it considers appropriate in relation to a specified race or races of a specified type.

83.3 In the case of any refusal made by the Authority under Paragraph 83.1, the Authority may direct that any stake be remitted to the Owner.

83.4 The Authority may determine the policy in respect of the division of races or the elimination of entries.

83.5 Nothing in this Part restricts

83.5.1 the Authority's general powers under Part (A)2 (general functions of the Authority), and

83.5.2 in particular, its power under Rule (A)12 (supplementary powers) to waive the application of any Rule in such circumstances as it may consider appropriate.

### **CHAPTER 2 - SCRATCHING DEADLINES AND STAGES FOR DECLARATIONS AND CONFIRMATION**

#### **84. Overview of scratching deadlines and the stages for making declarations and confirmations**

84.1 In respect of each race which closes more than six days before running

84.1.1 one or more dates may be determined in accordance with Rules 85 and 86 as a Scratching Deadline,

84.1.2 there shall be a confirmation of entry in accordance with Rules 87 and 88, and

84.1.3 there shall be a declaration of runners in accordance with Rules 89 to 91,

and Scratchings under Rule 95 may be made at any time before the time for making declarations of runner.

84.2 In respect of each race which closes six or fewer days before running

84.2.1 there shall be a declaration of runners in accordance with Rules 89 to 91, but

84.2.2 there shall be no Scratching Deadline and no confirmation of entries.

84.3 Schedule 6 contains an illustration of the timetable for the different stages for an entry, but this is subject to

84.3.1 any changes that may be made in the race conditions, or

84.3.2 any directions given by the Authority.

## ***Scratching deadlines***

### **85. Scratching deadline**

85.1 This Rule applies in relation to any race which closes more than 6 days before running.

85.2 A Scratching Deadline may be fixed for the Tuesday not less than 18 nor more than 24 days before running, or as otherwise decided by the Authority.

85.3 An additional Scratching Deadline may be fixed before any deadline under Paragraph 85.2

85.3.1 in respect of a Handicap, on any Tuesday after the publication of the weights, and

85.3.2 in respect of a race other than a Handicap, on any Tuesday after the publication of the entries.

85.4 Any Scratching of a horse

85.4.1 must be made to The Racing Calendar Office by noon on the date fixed in the conditions of the race, and

85.4.2 may not be withdrawn.

### **86. Procedure for scratching a horse**

86.1 This Rule specifies the procedure to be followed when Scratching a horse under Rule 85.

86.2 A Scratching may be made only through the Racing Calendar Office.

86.3 Deleted.

86.4 Deleted.

86.5 Deleted.

86.6 The following information must be given when making a Scratching

86.6.1 the name of the horse,

86.6.2 the name of the meeting, and

86.6.3 the name of the race.

86.7 Unless Paragraph 86.8 applies, the only Persons who may make a Scratching are the Owner of the engagement and his Authorised Agent and, when making the Scratching, any such Person must provide the security code allotted to him by the Authority under Rule 67.

86.8 Where the horse is trained outside Great Britain, the Scratching may also be made by

86.8.1 the Trainer of the horse, or

86.8.2 if he holds a valid authorisation which is in writing and signed by the Owner or his Authorised Agent, an official of the Racing Authority under whose authority the Trainer of the horse is duly qualified as such.

## ***Confirmation of entry***

### **87. Confirmation of entry**

87.1 This Rule applies only in relation to any race which closes more than 6 days before running.

87.2 Subject to Paragraph 87.5, the entries for the race must be confirmed by 12 noon either 5 or 6 days before the race.

87.3 The date for confirmation of entry must be advertised in the programme of the meeting.

87.4 No horse may run unless a confirmation of entry is made to The Racing Calendar Office by 12 noon on the day advertised in the programme of the meeting.

87.5 A supplementary entry made in accordance with Rule 75 shall be regarded as a confirmation of the entry and all stakes for the race shall be included in the supplementary entry fee.

87.6 A confirmation of entry may be withdrawn any time before the time fixed under Paragraph 87.2.

### **88. Procedure for making or withdrawing a confirmation of entry**

88.1 This Rule specifies the procedure to be followed

88.1.1 when making a confirmation of entry, and

88.1.2 when withdrawing such a confirmation.

88.2 A confirmation of entry may be made or withdrawn only through the Racing Calendar Office.

88.3 Deleted.

88.4 Deleted.

88.5 Deleted.

88.6 The following information must be given when making or withdrawing a confirmation of entry

88.6.1 the name of the Owner and, if different, the name which, under Part (E)3, is required to be used when running the horse,

88.6.2 the name of the horse,

88.6.3 the name of the meeting, and

88.6.4 the name of the race.

88.7 Unless Paragraph 88.8 applies, the only Persons who may make or withdraw a confirmation of entry are

88.7.1 the Owner of the engagement,

88.7.2 in the case of a withdrawal of a confirmation of entry for a horse sold without transfer of the engagement, the Nominator, and

88.7.3 the Authorised Agent of a Person within Paragraph 88.7.1 or 88.7.2,

and, when making or withdrawing the confirmation, any such person must provide the security code allotted to him by the Authority under Rule 67.

88.8 Where the horse is trained outside Great Britain, a confirmation may also be made or withdrawn by

88.8.1 the Trainer of the horse, or

88.8.2 if he holds a valid authorisation, an official of the Racing Authority under whose authority the Trainer of the horse is duly qualified as such.

88.9 Any confirmation or withdrawal which is made in writing under Paragraph 88.2.3 must be easily legible and must provide sufficient details to identify the correct race.

## **Declaration to run**

### **89. Declaration to run**

89.1 For the purposes of these Rules

89.1.1 the time for making a declaration to run in a race shall be determined in accordance with this Rule, but

89.1.2 this is subject to

89.1.2.1 any alternative deadline specified by the Authority under Rule 83.2, and

89.1.2.2 any extension of the deadline under Rule 90.

89.2 No horse may run in any race unless

89.2.1 a declaration to run has been made to The Racing Calendar Office by 10.00 a.m. on the day of the appropriate deadline determined in accordance with Paragraph 89.3 (subject to Rule 83.2), and

89.2.2 the declaration has been accepted by the Authority.

89.3 Unless the Authority directs otherwise, the day of the deadline is as follows

89.3.1 in respect of

89.3.1.1 a flat race,

89.3.1.2 a Grade 1 steeple chase or a hurdle race (not including any such Novice Races or National Hunt Flat Races), or

89.3.1.3 a race run on a Sunday,

89.3.1.4 such other races as the Authority may specify,

the deadline is 2 days before the day on which the race is advertised to be run, and  
89.3.2 otherwise, the deadline is the day before the day on which the race is advertised to be run.

89.4 A declaration to run may be withdrawn at any time before the deadline determined in accordance with Paragraph 89.3.

89.5 A declaration to run which is made to The Racing Calendar Office is only taken down for acceptance and is not to be regarded as being accepted by the Authority until the declaration is subsequently confirmed on the Racing Administration Internet Site.

89.6 Where the name of a declared horse does not appear in the confirmed list of runners, the horse shall not be permitted to run in the race unless the Authority directs that the name be added to the list.

89.7 No direction shall be given under Paragraph 89.6 on the day of running and, in determining whether to give any direction before then, the Authority shall have regard to

- 89.7.1 how promptly The Racing Calendar Office was notified of the omission,
- 89.7.2 what other steps were taken to secure that the horse's name appears on the list, and
- 89.7.3 such other matters as it considers appropriate.

## **90. Extension of deadline for declarations to run**

90.1 The deadline specified in Rule 89

- 90.1.1 may be extended in accordance with any directions given by the Authority, or
- 90.1.2 if Paragraph 90.2 applies, shall on the day of the original deadline specified in Rule 89.3 be extended up to 10.30 a.m. or such other time as the Authority may specify.

90.2 For the purposes of 90.1 and subject to Paragraph 90.3

- 90.2.1 this Paragraph applies to any race where the number of declarations to run received by The Racing Calendar Office by the time when the original deadline for closing of declarations occurs is seven or less regardless of race type or day of the week, and
- 90.2.2 if two or more declarations for horses in the care of the same Trainer have been received by the time of closing they will be treated as if a maximum of one declaration has been made.

90.3 Declarations at the non-preferred meeting (see Rule 98.2.3.2) shall not be taken into account for the purposes of Paragraph 90.2.

90.4 No declaration to run (or otherwise) which is made during the extended time period under this Rule may be withdrawn.

90.5 Where a horse is doubly declared under Rule 98 or 99 the preferred meeting for the horse may be changed if the original non-preferred meeting is subject to an extended time period for declaration.

90.6 Where a horse is already declared to run under the provisions of Rule 89 in another race on the day in question the Trainer of the horse will not be afforded the opportunity to declare for the race for which the time for declaration to run has been extended unless the horse is doubly declared under the provisions of Rule 98 or 99.

## **91. Procedure for making or withdrawing a declaration to run**

91.1 This Rule specifies the procedure to be followed

- 91.1.1 when making a declaration to run, and
- 91.1.2 when withdrawing a declaration.

91.2 A declaration to run may be made or withdrawn only through the Racing Calendar Office.

91.3 Deleted.

91.4 Deleted.

91.5 Deleted.

91.6 The following information must be given when making or withdrawing a declaration to run

91.6.1 the name of the Owner and, if different, the name which under Part (E)3 is required to be used when

running the horse,  
91.6.2 the name of the horse,  
91.6.3 the name of the meeting, and  
91.6.4 the name of the race.

91.7 Unless Paragraph 91.8 applies, the only Persons who may make or withdraw a declaration to run are

91.7.1 the Owner of the engagement,  
91.7.2 in the case of a withdrawal of a declaration for a horse sold without transfer of the engagement, the Nominator, and  
91.7.3 the Authorised Agent of a Person within Paragraph 91.7.1 or 91.7.2,

and, when making or withdrawing the declaration, any such person must provide the security code allotted to him by the Authority under Rule 67.

91.8 Where the horse is trained outside Great Britain, a declaration to run may also be made or withdrawn by

91.8.1 the Trainer of the horse, or  
91.8.2 if he holds a valid authorisation, an official of the Racing Authority under whose authority the Trainer of the horse is duly qualified as such.

### ***Declaration of rider***

## **92. Declaration of rider**

92.1 This Rule

92.1.1 applies in respect of each horse which, by the time determined in accordance with this Part for making declarations to run, has been declared to run in a race, but

92.1.2 is subject to any directions given by the Authority under Rule 83.2.

92.2 The responsible Person specified in Paragraph 92.5 must ensure that a declaration of the Rider for the horse is made to The Racing Calendar Office by 1.00 p.m. on the same day as the deadline for the declaration to run.

92.3 No declaration of Rider shall be accepted after the deadline specified in Paragraph 92.2.

92.4 A declaration of Rider may be withdrawn or altered at any time before the deadline specified in Paragraph 92.2, and the most recent declaration of Rider shall automatically replace any previous declaration.

92.5 The responsible Person

92.5.1 is the Trainer of the horse if he is the Authorised Agent of the Owner at deadline specified in Paragraph 92.2, and

92.5.2 otherwise, is the Owner of the horse at that deadline.

92.6 The person making the declaration of Rider must ensure, before making the declaration, that the Rider is available to ride.

92.7 For any race which closes 6 days or less before running, no declaration of Rider shall be accepted before 2.30 p.m. on the day before the day on which the race is advertised to close.

92.8 For any race which closes more than 6 days before running, no declaration of Rider shall be accepted before 2.30 p.m. on the day before the day on which the entries must be confirmed.

## **93. Procedure for making, withdrawing or altering a declaration of rider**

93.1 This Rule specifies the procedure to be followed

93.1.1 when making a declaration of Rider,

93.1.2 when withdrawing such a declaration, and

93.1.3 when altering such a declaration.

93.2 A declaration of Rider may be made, withdrawn or altered only through the Racing Calendar Office.

93.3 The following must be provided each time the declaration of Rider is made, withdrawn or altered

93.3.1 the security code allotted by the Authority under Rule 67, and

93.3.2 the appropriate fee specified in Schedule (A)1.

93.4 But no fee is payable where the declaration of Rider is made, withdrawn or altered by or on behalf of an Amateur Rider or through the Racing Administration Internet Site.

93.5 Unless Paragraph 93.6 applies, the only Persons who may make, withdraw or alter a declaration of Rider are

93.5.1 the Owner of the horse,

93.5.2 the Trainer of the horse,

93.5.3 an Authorised Agent of the Owner or Trainer,

93.5.4 the intended Rider, and

93.5.5 a rider's agent who is authorised to act for the intended Rider.

93.6 Where the horse is trained outside Great Britain, the declaration of Rider may also be made or withdrawn by a Person who

93.6.1 is an official of the Racing Authority under whose authority the Trainer of the horse is duly qualified as such, and

93.6.2 holds a valid authorisation to make the declaration.

## **94. Restriction as to number of meetings at which a rider is permitted to ride**

94.1 No Rider who is declared to ride at any flat or mixed meetings shall be permitted to ride at more than nine meetings in Great Britain during any 7 day period, starting on Saturday and ending on Friday.

94.2 For each 7 day period

94.2.1 The Racing Calendar Office shall monitor the total number of meetings at which each Rider has already ridden or, if the time fixed for declarations to run has expired, at which he is booked to ride, and

94.2.2 for any Rider approaching or exceeding the permitted number of meetings, this number shall be shown on the Racing Administration Internet Site and updated periodically between the release of the last overnight declarations of runners and 1.00 p.m. each day.

94.3 At the deadline specified in Rule 92.2 for making declarations of Rider, The Racing Calendar Office shall expunge any declaration to ride for a Rider which is for

94.3.1 the meeting at which the Rider would exceed the permitted number of meetings, and

94.3.2 if the Rider is declared to ride at two or more meetings on the same day but is not permitted to ride at all of them, each meeting at which he would exceed the permitted number and at which his first ride is the later.

94.4 Where a Rider who had previously been deemed to have reached the weekly maximum is, after the time specified in Rule 92.2 for making declarations of Rider, substituted to an alternative meeting, the substitution shall be permitted only if the Authority or Stewards are satisfied that the Rider did not ride at one of the meetings at which he had been declared to ride for one of the following reasons

94.4.1 a meeting at which the Rider had been declared to ride was abandoned,

94.4.2 any of the Rider's declared rides at a meeting was for any reason unable to run,

94.4.3 the Rider was ill or declared unfit to ride, or

94.4.4 such other circumstances as the Authority or Stewards may consider acceptable.

## **CHAPTER 3 - FURTHER STEPS**

### ***Non-runners***

## **95. Scratching non-runners before declaration to run stage**

95.1 This Rule applies in relation to

- 95.1.1 any race which closes more than 6 days before running,
- 95.1.2 any Class 1 flat race or jump race, or
- 95.1.3 any Heritage Handicap.

95.2 If, at any time before the time determined in accordance with this Part for making declarations to run, the Trainer knows that a horse he trains will be a non-runner in a race, he must notify The Racing Calendar Office immediately by making a Scratching.

(Rule 100 sets out the procedure for notifying a non-runner after the time determined in accordance with this Part for making declarations to run).

95.3 A Scratching may be made only through the Racing Calendar Office and, once made, may not be withdrawn.

95.4 Unless Paragraph 95.5 applies, the only persons who may make a Scratching are the Trainer or his representative.

95.5 Where the horse is trained outside Great Britain, the Scratching may also be made by a Person who

95.5.1 is an official of the Racing Authority under whose authority the Trainer of the horse is duly qualified as such, and

95.5.2 holds a valid authorisation to make the Scratching.

95.6 A Person making a Scratching must provide

95.6.1 the security code allotted by the Authority under Rule 67,

95.6.2 the name of the horse,

95.6.3 the name of the meeting, and

95.6.4 the name of the race.

## **96. Requirement to run after declaration to run stage**

96.1 If a qualified horse has been declared a runner in a race under this Part, the horse must run in the race provided that it has not been eliminated under Rules 102 and 103 or, having been declared as a reserve, it is subsequently allocated a run.

96.2 A horse must not be left declared to run in two or more races on the same day once the deadline has passed for making declarations to run in each race.

96.3 No horse may run in two or more races run on the same day.

96.4 In the event of any failure to comply with this Rule

96.4.1 a financial penalty of £140 shall be imposed on the Trainer of the horse or, if the horse is not in the care of a Trainer, on the Owner, where the horse is made a non-runner before 9.00a.m. on the day of the race,

96.4.2 a financial penalty of £500 shall be imposed on the Trainer of the horse or, if the horse is not in the care of a Trainer, on the Owner, where the horse is made a non-runner at or after 9.00a.m. on the day of the race, or

96.4.3 instead of or in addition to imposing such a penalty, the Stewards may decide to take other Disciplinary Action against that Trainer or Owner if they consider that the circumstances of the horse's failure to run make it appropriate for them to so.

96.5 In any case where the horse has been declared for a race but is not qualified to run in the race, a financial penalty of £140 shall also be imposed on the Trainer of a horse or, if the horse is not in the care of a Trainer, on the Owner.

96.6 In any case where a horse is left declared to run in two or more races on the same day, other than provided for in Rule 98, the Trainer of the horse will be liable to further Disciplinary Action in addition to the penalty under Paragraph 96.4.1. Furthermore, the circumstances specified in Rules 97.2 to 97.7 do not apply when a horse is declared for two races on the same day.

96.7 But

96.7.1 Paragraphs 96.1 and 96.5 do not apply if the horse does not run in any of the circumstances specified in Rules 97.2 to 97.7,

96.7.2 Paragraphs 96.1 and 96.2 do not apply in any of the circumstances specified in Rule 98 or

99, and

96.7.3 in relation to Paragraph 96.3, a horse in a walk-over may run in one other race run on the same day

## **97. Exceptions to Rule 96 : non-runners**

97.1 Rules 96.1 and 96.5 do not apply in any of the circumstances specified in Paragraphs 97.2 to 97.7.

97.2 In accordance with Paragraphs 5 to 7 of Schedule 7, the Trainer submits a satisfactory veterinary certificate to the Authority's Office within 7 days of the race in which horse was declared to run. In such circumstances, the Authority will suspend the horse from running in future races in Great Britain for the period of 3 days starting with the day of the race for which the horse was issued with a veterinary certificate.

97.3 Subject to paragraph 97.9, the Trainer informs The Racing Calendar Office without delay that he has self-certified the horse as a non-runner, specifying the reason for it not running.

In such circumstances, the Authority will suspend the horse certified from running in future races in Great Britain for the period of 7 days starting with the day of the race for which the horse was self-certified.

97.4 There is a change in the going on the day of the race from the going report which, on the day the horse was declared to run, was posted on the Racing Administration Internet Site or was available from the Clerk of the Course (or the Person nominated by him).

97.5 The Trainer considers the horse will be unsuited by the going, the horse is on the racecourse and its identity has been verified by a Veterinary Officer from its passport on the day of the race.

97.6 The Trainer, his representative or the Owner satisfies the Stewards on the day of the race that the failure of the horse to run was caused by other circumstances outside the reasonable control of the Trainer (or his representative) or the Owner.

97.7 The horse is prevented from running because the rider was not allowed, under Rule (B)22.6, to weigh out on account of the fact that certain sums due under these Rules remain unpaid.

In such circumstances, a financial penalty of £500 shall instead be imposed on the Owner of the horse.

97.8 Except to the extent stated in Rule 98.4.3 or 99.4.3, this Rule does not apply in any case falling within Rule 98 or 99.

97.9 The use of self-certificates is not permitted

97.9.1 after the Declaration Sheet has been signed under Rule (B)23, or

97.9.2 where the reason for not running is related to prize money for the race except for where the prize money has altered after the time determined in accordance with Rule 89 for making declarations to run.

## **98. Exceptions to Rule 96: horse declared in two races**

98.1 No Disciplinary Action shall be taken for a failure to comply with Rule 96.1 or 96.2 if a horse remains declared to run in two races and the conditions specified in any of Paragraph 98.2, 98.3 or 98.4 are met.

98.2 The conditions in this Paragraph are that

98.2.1 the two races in which the horse remains declared to run are

98.2.1.1 two steeple chases, two hurdle races, two National Hunt Flat Races or any combination, or

98.2.1.2 one steeple chase or hurdle race or National Hunt Flat Race and one flat race during the period starting on November 1st and ending on March 31st, or

98.2.1.3 two flat races in any case where an inspection has been called at the preferred meeting (see Paragraph 98.2.3) before the time for making the declarations to run; and

98.2.2 the two races are classed in accordance with Schedule 2 as

98.2.2.1 in respect of races in Paragraph 98.2.1.1 or 98.2.1.2, Class 1, 2 or 3 ('Jump' races) or Class 1, 2, 3 or 4 (Flat races), or

98.2.2.2 in respect of races in Paragraph 98.2.1.3, Class 1, 2 or 3; and

98.2.3 by the time determined under this Part for making declarations to run, the Trainer has advised The Racing Calendar Office of



98.2.3.1 the meeting at which he would prefer the horse to run (the preferred meeting), and

98.2.3.2 the other meeting at which it is engaged (the non-preferred meeting); and

98.2.4 the horse runs at the preferred meeting.

98.3 The conditions in this Paragraph are that

98.3.1 all the conditions in Paragraphs 98.2.1 to 98.2.3 are met; and

98.3.2 the preferred meeting was either abandoned or was subject to a course inspection and, if subject to a course inspection, the Stewards at the preferred meeting are satisfied that the decision to race had not been made by the time the horse had to leave to run at the non-preferred meeting; and

98.3.3 the horse either

98.3.3.1 runs in the non-preferred race, or

98.3.3.2 in the case of a steeple chase or hurdle race, does not run in that race because, on account of an increase under Rule 46.2, its weight exceeds 11st 12lbs.

98.4 The conditions in this Paragraph are that

98.4.1 all the conditions in Paragraphs 98.2.1 to 98.2.3 are met; and

98.4.2 the horse does not run at either the preferred meeting or the non-preferred meeting; and

98.4.3 the Trainer or his representative, or the Owner, satisfies the Stewards at one of those meetings that the horse did not run in any of the circumstances specified in Rule 97.

98.5 If a horse runs at a non-preferred meeting in circumstances other than those specified in Paragraph 98.3, the Trainer of the horse shall be liable to further Disciplinary Action in addition to the financial penalty imposed under Rule 97.

## **99. Exceptions to Rule 96: horse declared in a Saturday race and a Sunday race**

99.1 No Disciplinary Action shall be taken for a failure to comply with Rule 96.1 or 96.2 if

99.1.1 a horse remains declared to run in two races, one being on a Saturday and the other on a Sunday;

99.1.2 the time determined under this Part for making declarations to run falls on a Friday; and

99.1.3 the conditions specified in any of Paragraph 99.2, 99.3 or 99.4 are met.

99.2 The conditions in this Paragraph are that

99.2.1 the two races in which the horse remains declared to run are steeple chases, hurdle races or any combination; and

99.2.2 the two races are classed in accordance with Schedule 2 as 1, 2 or 3 ('Jump races'); and

99.2.3 the Trainer has advised The Racing Calendar Office of the race at which he would prefer the horse to run (the preferred race) and the other race at which it is engaged (the non-preferred race); and

99.2.4 the horse does not run in both races; and

99.2.5 the horse runs in the preferred race.

99.3 The conditions in this Paragraph are that

99.3.1 all the conditions in Paragraphs 99.2.1 to 99.2.4 are met; and

99.3.2 the preferred race was either abandoned or was subject to a course inspection and, if subject to a course inspection, the Stewards at the meeting where that race takes place are satisfied that the decision to race at that meeting had not been made by the time the horse had to leave to run in the non-preferred race; and

99.3.3 the horse either

- 99.3.3.1 runs in the non-preferred race; or
- 99.3.3.2 does not run in that race because, on account of an increase under Rule 46.2, its weight exceeds 11st 12lbs.

99.4 The conditions in this Paragraph are that

- 99.4.1 all the conditions in Paragraphs 99.2.1 to 99.2.4 are met; and
- 99.4.2 the horse does not run in either the preferred or the non-preferred race; and
- 99.4.3 the Trainer or his representative, or the Owner, satisfies the Stewards at the meeting where either race takes place that the horse did not run in any of the circumstances specified in Rule 97.

99.5 If a horse runs in a non-preferred race in circumstances other than those specified in Paragraph 99.3, the Trainer of the horse shall be liable to further Disciplinary Action in addition to the financial penalty imposed under Rule 97.

### **99A. Exceptions to Rule 96: horse declared as a reserve and subsequently allocated a run**

99A.1 No Disciplinary Action shall be taken for a failure to comply with Rule 96.1 or 96.2 if the conditions specified in any of Paragraphs 99A.2, 99A.3 or 99A.4 are met.

99A.2 The conditions in this Paragraph are that

- 99A.2.1 a horse is declared in a race; and
- 99A.2.2 also having been declared as a reserve in a race on the same or following day it is subsequently allocated a run; and
- 99A.2.3 the horse runs in the race in which it was declared as a reserve.

99A.3 The conditions in this Paragraph are that

- 99A.3.1 the conditions in Paragraphs 99A.2.1 and 99A.2.2 are met; and
- 99A.3.2 the race referred to in Paragraph 99A.2.2 was either abandoned or was subject to a course inspection and, if subject to a course inspection, the Stewards at the meeting where that race takes place are satisfied that the decision to race at that meeting had not been made by the time the horse had to leave to run in the race referred to in Paragraph 99A.2.1; and
- 99A.3.3 the horse either

- 99A.3.3.1 runs in the race referred to in 99A.2.1; or
- 99A.3.3.2 does not run in that race

- 99A.3.3.2.1 on account of an increase under Rule 46.2, its weight exceeds 11st 12lbs in a Jump race, or
- 99A.3.3.2.2 on account of an increase under Rule 36A, its weight exceeds 10st in a Flat race.

99A.4 The conditions in this Paragraph are that

- 99A.4.1 the conditions in Paragraphs 99A.2.1 and 99A.2.2 are met; and
- 99A.4.2 the horse does not run in either race; and
- 99A.4.3 the Trainer or his representative, or the Owner, satisfies the Stewards at the meeting where either race takes place that the horse did not run in any of the circumstances specified in Rule 97.

99A.5 If the horse runs in the race referred to in Paragraph 99A.2.1 in circumstances other than those specified in Paragraph 99A.3 the Trainer shall be liable to further Disciplinary Action in addition to the financial penalty imposed under Rule 97.

### **100. Procedure for notification of non-runner after declaration to run stage**

100. The Trainer of a horse must ensure that the procedure in Schedule 7 is followed if a horse declared to run in a race under this Part is to be a non-runner in the race.

### **101. Notifying the death of a horse**

101.1 The notification of the death of a horse to The Racing Calendar Office shall withdraw the horse from all engagements in races.

101.2 When a horse holds an engagement in a race which closes more than 6 days before running the notification must be made in accordance with Rule 95.

### ***Dividing a race and reducing declarations to run***

### **102. Steps where declarations to run exceed applicable limit**

102.1 Paragraphs 102.2 and 102.3 apply in any case where, by the deadline determined in accordance with this Part for making declarations to run, the number of horses declared to run in a race exceeds whichever is applicable of the Field Size Limit, the Maximum Figure or the Safety Factor (the applicable limit).

102.2 If the number of horses is less than eighteen, the number of declarations shall be reduced to the number permitted by the applicable limit.

102.3 If the number of horses is eighteen or more, the race shall be divided or the remaining declarations to run shall be reduced to the number permitted by the applicable limit.

### **103. Procedure for division of race and reduction of runners**

103.1 Subject to Paragraph 103.5

103.1.1 any flat race shall be divided in accordance with Rules 27 and 28, and

103.1.2 any steeple chase, hurdle race or National Hunt Flat Race shall be divided in accordance with Rules 39 and 40.

103.2 If any race of a description referred to in Paragraph 103.1.1 or 103.1.2 is a weight for age race and, in the race, there are

103.2.1 two or more horses which are owned by the same owner,

103.2.2 two or more horses which are trained by the same trainer,

103.2.3 two or more horses which, on or after January 1st of the previous year, have won a race of the same description as the weight for age race, or

103.2.4 two or more horses which at declaration stage under Rule (F)89 are set to carry the same colours.

the horses referred to in each of Paragraphs 103.2.1, 103.2.2 and 103.2.3 shall be allocated to different divisions to the extent possible.

103.3 If the race is a handicap race

103.3.1 the horses shall be allocated to a division according to weight order, and

103.3.2 such of the horses in the race as are owned by the same owner, or are trained by the same trainer, or set to carry the same colours, shall be allocated to different divisions to the extent possible.

103.4 In determining for the purposes of Paragraphs 103.2 and 103.3 whether two or more horses are owned by the same owner

103.4.1 where the horse is in the ownership of a Partnership, Racing Club or Syndicate, any two or more horses which run in the name registered for the Partnership, Racing Club or Syndicate shall be regarded as owned by the same owner.

103.5 Where the number of runners in any race exceeds twice the Safety Factor or Maximum Figure (as applicable), an appropriate number of runners shall be eliminated by ballot before the division is made.

103.6 Where

103.6.1 runners are to be eliminated under Paragraph 103.5, or

103.6.2 declarations to run are to be reduced by elimination or ballot,

this shall be carried out in accordance with the procedure specified in Schedule 8.

103.7 An error which is made in the course of reducing the number of declarations to run in a race may be corrected if

103.7.1 it results in the elimination of a horse from the race,

103.7.2 that elimination would be contrary to the conditions of the race or to a provision of these Rules,

103.7.3 the correction is authorised by the Authority and is made no later than 2.00 p.m. on the day before that on which the race is advertised to be run, and

103.7.4 if the race is a flat race, the correction is made in accordance with Rule 105.

103.8 Neither the Authority nor anyone acting on its behalf shall be under any liability to any Person by reason of a horse being eliminated from a race, even if the elimination is made in error.

### ***The draw in a flat race***

## **104. The draw**

104.1 The draw allotting positions at the start of any flat race, except those programmed to be started from a starting gate, shall take place at The Racing Calendar Office after

104.1.1 the deadline determined in accordance with this Part for making declarations to run has passed, and

104.1.2 any division of the race or reduction of runners has been carried out in accordance with Rules 102 and 103.

104.2 Subject to Paragraph 104.4, no changes may be made to the draw order except in accordance with Rule 105.

104.3 In a race for which the Authority has granted permission for reserves, the allocation of the draw order for any reserve horse which subsequently obtains a run shall be as directed by the Authority and published in advance in the advertised specific arrangements applicable to the race.

104.4 The Authority may, in exceptional circumstances not covered by Rule 105, make a change to the draw order, but where possible it will follow the procedures set out in Rule 105.

## **105. Making changes to the draw order**

105.1 The Authority may authorise the addition to the race of the number of a horse in any case where the horse

105.1.1 has been duly entered and declared and has not been withdrawn or eliminated, but

105.1.2 has been omitted from the list of runners.

105.2 The procedures specified in the rest of this Rule apply in relation to any horse which is to be added to the race and, where more than one horse is to be added

105.2.1 the order of application of the procedures for each added horse shall be determined by random ballot, and

105.2.2 if elimination is necessary for some but not all the added horses, the order of application of the procedures for each added horse shall be applied sequentially as necessary.

105.3 Where a horse is added to the race before 2.00 p.m. on the day determined in accordance with this Part for making declarations to run

105.3.1 if this results in the elimination of another horse, the added horse shall take the saddle cloth number and draw position of the eliminated horse, and

105.3.2 if no elimination is necessary the added horse shall take the next highest saddle cloth number and shall be allocated a random draw position.

105.4 Where the draw position allocated under Paragraph 105.3.2 has already been issued to another declared horse

105.4.1 that horse shall be given a draw position one number higher, and

105.4.2 all other declared horses which were originally allocated a draw position higher than that re-allocated under Paragraph 105.3.2 shall be re-allocated a draw position one number higher than the one they were originally allocated.

105.5 Subject to Paragraph 105.6, where a horse is added to the race after 2.00 p.m. on the day determined in accordance with this Part for making declarations to run

105.5.1 if this results in the elimination of another horse, the added horse shall take the saddle cloth number and draw position of the eliminated horse, and

105.5.2 if no elimination is necessary

105.5.2.1 the original draw for places shall stand, and

105.5.2.2 the added horse shall take the next highest saddle cloth number and shall be allocated the highest number in the draw.

105.6 Where a horse is added to a race on the day of the race, the Stewards shall apply the procedure specified in Paragraph 105.5.

105.7 Where Field Size Limits have been applied in accordance with Schedule 16 and a horse has been added to the list of runners in a race in accordance with this Rule, eliminations may be necessary in another race at the same meeting and such eliminations shall be carried out in accordance with

105.7.1 Rule 103,

105.7.2 Schedule 8, and

105.7.3 Schedule 16.

### ***Payments in respect of an engagement***

#### **106. Fees due in respect of entries**

106.1 A fee is payable to the Authority in respect of each race entry processed and the amount of the fee is specified in Schedule (A)1.

106.2 Except where Paragraph 106.3 applies, the following sums shall be paid in respect of each entry

106.2.1 the sum of £1.20 is payable to the Horseracing Betting Levy Board for the benefit of the Equine Infectious Diseases Services of the Animal Health Trust, and

106.2.2 the sum of £1.25 is payable to the Authority for the benefit of the welfare of former racehorses.

106.3 Where any horse entered in a race is deemed not to have qualified to start for the race at the time of closing, the sum due under Paragraph 106.1 nevertheless remains payable by

106.3.1 the Trainer, or

106.3.2 if he can establish that the entry was made by the Owner or another Authorised Agent, by the Owner or Authorised Agent who made the entry.

#### **107. The stake and the stakeholder**

107.1 In this Manual, **the Stake**, in respect of a horse engaged in a race

107.1.1 is any amount contributed by, or due from, a Person in accordance with the following provisions of this Part which forms part of the Owners' Prize Money Pool for the race, and

107.1.2 if different payments are required at different times, includes all such payments.

107.2 **The Stakeholder** is such Person as the Authority may appoint to collect

107.2.1 all Stakes,

107.2.2 all other sums due under the conditions of races,

107.2.3 all Arrears and fees due under these Rules,

107.2.4 any account or legal charges which the Authority may from time to time determine should

be paid towards recovering the costs of administering accounts, and  
107.2.5 any VAT which is payable in connection with each of those sums.

107.3 The Stakeholder may require payments to be made in cash in such circumstances as the Stakeholder considers appropriate.

107.4 For the purposes of Paragraph 107.1

107.4.1 the Owners' Prize Money Pool in respect of a race comprises all stakes which are held by the Stakeholder for that race in accordance with these Rules;

107.4.2 each Owners' Prize Money Pool belongs to those Owners who contribute to it in the respective proportions of their contribution; and

107.4.3 such contributions shall be returned or distributed by the Stakeholder in accordance with the following provisions of this Part and Part 7.

## **108. Liability for stake money etc: races with a scratching deadline**

108.1 This Rule

108.1.1 applies to any race for which there is a Scratching Deadline, but

108.1.2 is subject to any requirement for earlier payment under Rule 112.

108.2 A Person who is either the Nominator of a horse or its Owner at the time of nomination is, subject to Paragraph 108.3, liable for

108.2.1 the initial stake payable at the Time of Entry,

108.2.2 any sum due under Rule 106 (fees for processing entries), and

108.2.3 any additional sums specified in the conditions of the race.

108.3 Where the details of a transfer of engagements have been sent to The Racing Calendar Office under Rule 81, the transferee is liable for any sum which becomes payable after the details have been received.

108.4 Any amount due at a Scratching Deadline is payable at the time fixed for the deadline.

108.5 Any amount due at the time determined in accordance with this Part for confirmation of entry is payable when the race takes place.

## **109. Liability for stake money etc: races with no scratching deadline**

109.1 This Rule

109.1.1 applies to any race for which there is no Scratching Deadline, but

109.1.2 is subject to any requirement for earlier payment under Rule 112.

109.2 Subject to Paragraph 109.3, a Person who is either the Nominator of a horse or its Owner at the time of nomination is, liable for

109.2.1 the Stake, and

109.2.2 any sum due under Rule 106 (fees for processing entries).

109.3 Where the details of a transfer of engagements have been sent to The Racing Calendar Office under Rule 81, the transferee becomes liable for the sums listed in Paragraph 109.2 and the Nominator is liable only if the transferee defaults.

109.4 Any amount due under this Rule is payable when the race takes place.

## **110. Circumstances in which amounts are not payable**

110.1 No stake is payable in respect of a horse which is eliminated from a race under the provisions of Rule 102 (steps where declarations to run exceed relevant race limit).

110.2 No stake is payable in respect of a horse which is entered in a race where the race is void or is never run.

110.3 No stake is payable in respect of a horse which does not run in a race if

110.3.1 the Trainer has advised The Racing Calendar Office that the race is at a non-preferred meeting (see Rules 98.2.3 and 99.2.3),

110.3.2 the requirements of this Chapter as to non-runners have been complied with, and

110.3.3 the horse would be required to carry more than 11st 12lb in a Handicap Steeple Chase or Hurdle Race.

110.4 No amount is payable in respect of a horse if the entry is void by virtue of Rule 80.3.

110.5 No stake is payable in respect of a horse if it is not qualified to start in a race at the time of closing.

110.6 Any payment made before the race in respect of a horse within any of Paragraphs 110.1 to 110.5 shall be returned.

110.7 The Authority may, if it considers it appropriate to do so, decide that payment of the Stake relating to the Scratching Deadline in a race is not payable where

110.7.1 a horse has been accidentally left in the race at a Scratching Deadline, and

110.7.2 the Authority is satisfied that this omission was wholly attributable to circumstances which it would not be reasonable to expect the Person liable to pay for the fee (or any Person acting on his behalf) to prevent or avoid.

In such cases the horse may be withdrawn from the race on payment of an administration fee of £100.

## **111. Race not run following the making of a division**

111.1 This Rule applies where

111.1.1 a race is divided under Rule 102, and

111.1.2 one or more, but not all, divisions of the race are run.

111.2 No stake money is payable in respect of any horse entered in the race which was declared to run but which was allocated to a division of the race that was subsequently abandoned.

111.3 Any payment made before the race in respect of a horse within Paragraph 111.2 shall be returned.

111.4 The balance of any stake money remaining after the deduction of that allocated to Prize Money in the divisions that ran shall be paid to the credit of the Race Division Fund.

## **112. Power of the Authority to require earlier payment**

112.1 If the Authority considers it appropriate to do so

112.1.1 it may require the Nominator or Owner of a horse in a race to provide funds in advance for the initial stake or for any additional sums laid down in the conditions of the race, or

112.1.2 it may require that Stake money be paid at the Time of Entry together with any Arrears.

112.2 The Authority may specify the amount and manner of payment of any funds required under Paragraph 112.1.1.

## **113. Failure to pay stake money**

113.1 If it considers it appropriate to do so, the Authority may delete an entry for a race where a Person fails to pay stake money when due or any Arrears.

113.2 A deletion under Paragraph 113.1 shall take effect immediately.

### ***Payments by racecourse managing executive***

## **114. Payments by racecourse managing executive**

114.1 Not later than the seventh day after a day's racing unless either the seventh day is a Saturday, Sunday, Bank Holiday, Good Friday or Christmas Day when it must be on the next working day the Racecourse Managing Executive must pay by Direct Debit to the Stakeholder

114.1.1 in a Sweepstakes

114.1.1.1 any Money Added to Stakes, and

114.1.1.2 where a Guaranteed Minimum Value has been advertised, any additional sum required;

114.1.2 in a Total Prize Fund race, any Money Added to Stakes;

114.1.3 any payment required under the controls for sponsorship which are for the time being

approved by the Authority under Rule (A)88 (controls on advertising and sponsorship);  
114.1.4 any VAT payable in connection with each of those sums.

114.2 But Paragraph 114.1 shall cease to apply if the Racecourse Managing Executive is notified by the Stakeholder to make a payment at an earlier time subject to Rule 121.

114.3 No race shall be declared void on account of the Racecourse Managing Executive failing to pay the Stakeholder the sums under Paragraph 114.1.